



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/780,900	02/19/2004	Shigekatsu Okada	SN-US045013

22919  
SHINJYU GLOBAL IP COUNSELORS, LLP  
1233 20TH STREET, NW, SUITE 700  
WASHINGTON, DC 20036-2680

CONFIRMATION NO. 9078

## FORMALITIES LETTER



\*OC000000012665384\*

Date Mailed: 05/14/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/03/2004 SHINASS1 00000090 10780900

01 FC:1001 770.00 0P  
02 FC:1202 108.00 0P  
03 FC:1051 130.00 0P

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$108 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1008 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is **\$108**
  - **\$108** for 6 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

---

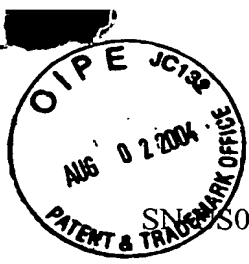
*A copy of this notice MUST be returned with the reply.*

\_\_\_\_\_

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



SN: US045013

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: :  
Shigekatsu OKADA et al. : **Attn.: BOX MISSING PARTS**  
Serial No.: 10/780,900 : **Patent Art Unit: 3611**  
Filed: February 19, 2004 :  
For: BICYCLE HANDLEBAR :

COMPLETION OF APPLICATION UNDER 37 CFR §1.53

**BOX MISSING PARTS**  
Assistant Commissioner of Patents  
Washington, DC 20231

Sir:

In response to the Communication dated May 14, 2004, copy attached, submitted herewith are:

- ☒ [X] An executed Declaration and Power of Attorney.
- ☒ [X] An Assignment of the invention to Shimano Inc.
- ☒ [X] An executed Certificate under 37 C.F.R. 3.73(b).
- ☒ [X] Applicants petition for an extension of one (1) months to respond and submit herewith the fee of \$110.00.
- ☒ [X] A check in the amount of \$1,158.00 is attached to cover the filing fee of \$770.00, the additional claims fee of \$108.00, the surcharge fee of \$130.00, the petition fee for one(1)-month extension of \$110.00 and the Assignment recording fee of \$40.00.
- ☒ [X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No.: 50-1836. A duplicate copy of this sheet is attached.
  - ☒ [X] Any additional patent application processing fees under 37 CFR 1.16.
  - ☒ [X] Any additional patent application processing fees under 37 CFR 1.17.

08/03/2004 SMINASS1 00000090 10780900

04 FC:1251

110.00 0P

Respectfully submitted,

David L. Tarnoff  
Attorney of Record  
Reg. No. 32,383

SHINJYU GLOBAL IP COUNSELORS, LLP  
1233 Twentieth Street, NW, Suite 700  
Washington, DC 20036  
(202)-293-0444  
Dated: 8-2-04  
G:\05-MAY04-SMM\SN-US045013 Comp of App.doc